11.5-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Anthony F. MENNINGER, et al.

Title:

SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR AN ACCESS-BASED REVENUE MODEL INVOLVING A SUPPLY CHAIN

MANAGEMENT FRAMEWORK

🛱ppl. No.:

09/816,430

Filing Date:

03/23/2001

Examiner:

Unassigned

Art Unit:

2161

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UNDER 37 CFR § 1.56

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits, and within three (3) months of the mailing date of the foreign search report.

Atty. Dkt. No. 062834-0171



The foregoing documents were cited in the International Search Report of Applicants' International Application PCT/USO2/08287. A copy of the International Search Report setting forth the portion of reference considered relevant by the examiner is attached.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Date: October 25, 2002

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Respectfully submitted,

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Attorney for Applicant Registration No. 26,874

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Substitute for form	1449B/	PTO	Complete if Known		
	INFORMATION DI	SCLO	SURE	Application Number	09/816,430	
	STATEMENT BY	APPLI	CANT	Filing Date	03/23/2001	
	Date Submitted: Oct	nhar 2	5 2002	First Named Inventor	Anthony F. MENNINGER, et al.	
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Sheet	1	of	1	Attorney Docket Number	062834-0171	

				U.S. PATENT DOCUMENTS	3	
Examiner Initials*	Cite No.1	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines, Where Relevant
		Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
	A1	5,950,173		Perkowski	09-07-1999	
	A2	6,084,528		Beach et al.	07-04-2000	
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FOREIGN PATENT DOCUMENTS								
	Cite	Foreign Patent Document			Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant	
	No. ¹	Office ³	Number⁴	Kind Code ⁵ (if known)	Applicant of Cited Documents	MM-DD-YYYY	Passages or Relevant Figures Appear	T ⁶
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	7		

Examiner	Date
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.